

PTC/SD/DBA (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 4

Complete if Known.

Application Number	10/711,703
Filing Date	09/30/2004
First Named Inventor	SRIVASTAVA, Rohit
Art Unit	3738
Examiner Name	Unknown
Attorney Docket Number	060493-0001

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
LS	1	US- 2004 0015230	01-22-2004	MOLL, et al.	
	2	US- 2003 0130729	07-10-2003	PANIAGUA, et al.	
	3	US- 2003 0055492	03-20-2002	SHAOLIAN, et al.	
	4	US- 2002 0198586	12-26-2002	INOUE, Kanji	
	5	US- 06,605,112	08-12-2003	MOLL, et al.	
	6	US- 6,458,153	10-01-2002	BAILEY, et al.	
	7	US- 6,364,901	04-02-2002	INOUE, Kanji	
	8	US- 6,344,041	10-26-2001	KUPIECKI, et al.	
	9	US- 6,299,637	10-09-2001	SHAOLIAN, et al.	
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	11	US- 6,168,592	01-02-2001	KUPIECKI, et al.	
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	13	US- 5,976,179	11-02-1999	INOUE, Kanji	
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	15	US- 5,123,428	06-23-1992	SCHWARTZ, Gerald R.	
	16	US- 4,253,201	03-03-1981	ROSS, et al.	
	17	US- 5,163,955	11-17-1992	CALVIN, et al.	
	18	US- 5,571,174	11-05-1996	LOVE	
	19	US- 5,653,749	08-05-1997	LOVE	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ , Number ⁴ , Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
LS	1	EP 1010405 A3	06-21-2000	INOUE, Kanji	
	2	EP 0820726 A3	01-28-1998	KUPIECKI, et al.	
	3	EP 0820726 - B1	09-10-2003	KUPIECKI, et al.	
	4	WO 2004 019825 A1	03-11-2004	GUYENOT, et al.	
	5	WO 2003 047468 A1	06-12-2003	SPENSER, et al.	
	6	WO 2000 041652 A1	07-20-2000	LETAC, Brice	

Examiner Signature	Date Considered
<i>[Signature]</i>	5/5/05

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2

of 4

Complete if Known

Application Number 10/711,703
 Filing Date 09/30/2004
 First Named Inventor SRIVASTAVA, Rohit
 Art Unit 3738
 Examiner Name Unknown
 Attorney Docket Number 060493-0001

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code ¹ (if known)				
JP	20	US- 3,671,979	06-27-1972	MOULOPOULOS		
	21	US- 4,056,854	11-08-1997	BORETOS		
	22	US- 5,411,552	05-02-1995	ANDERSON		
	23	US- 6,540,782	04-01-2003	SNYDERS		
	24	US- 5,397,351	03-14-1995	PAVCNIK, et al.		
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	27	US- 6,168,614	01-02-2001	ANDERSON, et al.		
	28	US- 5,840,081	11-24-1998	ANDERSON, et al.		
	29	US- 2003 0114913	06-19-2003	SPENSER, et al.		
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	32	US- 3,755,823	09-04-1973	HANCOCK		
	33	US- 4,856,516	08-15-1989	HILLSTEAD		
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	35	US- 4,994,077	02-19-1991	DOBBEN		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
	7	Country Code* Number* Kind Code* (if known) WO 1996 002212 - A1		02-01-1996	BLOCK, et al.	
	8	WO 98 29057		07-09-1998	LETAC et al.	

Examiner
Signature*[Signature]*Date
Considered

5/5/05

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 3

of 4

Complete if Known

Application Number	10/711,703
Filing Date	09/30/2004
First Named Inventor	SRIVASTAVA, Rohil
Art Unit	3738
Examiner Name	Unknown
Attorney Docket Number	060493-0001

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		Number-Kind Code ² (if known)			
JL	39	US- 5,370,685	12-06-1994	STEVENS	
	40	US- 5,824,064	10-20-1998	TAHERI	
	41	US- 5,855,597	01-05-1999	JAYARAMAN	
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	45	US- 5,954,766	09-21-1999	ZADNO-AZINI, et al.	
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FOREIGN PATENT DOCUMENTS							
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		Country Code ⁴ Number ⁵ Kind Code ⁶ (if known)					

Examiner Signature	<i>[Signature]</i>	Date Considered	5/5/05
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/711,703
		Filing Date	9/30/2004
		First Named Inventor	Rohit Srivastava
		Art Unit	3738
		Examiner Name	GHERBI
Sheet 4	of 4	Attorney Docket Number	060493-0001

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	HUFNAGEL, C.A., Am. J. of Surg., 137:285-300 (1972).	<input checked="" type="checkbox"/>
	2	HARKEN et al., J. Thorac & Cdsc. Surg., 40:744-762 (1960)	<input checked="" type="checkbox"/>
	3	LO et al., Trans. Am. Soc. of Art. Int. Organs, 34:839-844 (1988)	<input checked="" type="checkbox"/>
	4	HILBERT et al., J. Therac & Cdvs. Surg., 94:419-429 (1987)	<input checked="" type="checkbox"/>
	5	BAILEY, Steven R., Textbook of Interventional Cardiology, Chap. 75 (1995)	<input checked="" type="checkbox"/>
	6	KNUDSEN et al., Int'l J. of Art. Organs, 16:253-262 (1993)	<input checked="" type="checkbox"/>
	7	KNUDSEN et al., European Heart J, 13:704-708 (1992)	<input checked="" type="checkbox"/>
		8	NERAC Search Report
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature		Date Considered	5/5/05
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